

1. Name of Listed Entity : **The Anup Engineering Limited (Formerly Known as Anveshan Heavy Engineering Limited)**
2. Scrip Code/Name of Scrip/Class of Security : **542460 (BSE) & ANUP (NSE), Equity Shares**
3. Share Holding Pattern Filed under : **31(1)(b)**
4. Share Holding Pattern as on : **30-09-2019**
5. Declaration : **The Listed entity is required to submit the following declaration to the extent of submission of information:-**

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+ (V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								(IX)			Total as a % of (A+B+ C)			(XII)		(XIII)		
								No. of Voting Rights						No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	30	4253215	0	0	4253215	41.72%	4253215	0	4253215	41.70%	0	41.72%	0	0.00%	157407	3.70%	4252627
B	Public	106591	5946303	0	0	5940747	58.28%	5946303	0	5946303	58.30%	0	58.28%	0	0.00%	NA	NA	5713056
C	Non Promoter- Non Public	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
C1	Shares underlying DRs	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
Total		106621	10199518	0	0	10193962	100.00%	10199518	0	10199518	100.00%	0	100.00%	0	0.00%	157407	1.54%	9965683

Table IIA - Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No. of share held
0	0

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
1	Institutions																		
a	Mutual Funds		36	807764	0	0	807764	7.92%	807764	0	807764	7.92%	0	0.00%	NA	NA	807355		
	Hdfc Trustee Company Ltd - A/C Hdfc Mid - Capopportunities Fund			501325	0	0	501325	4.92%	501325	0	501325	4.92%	0	0.00%	NA	NA	501325		
	Canara Robeco Mutual Fund A/C Canara Robeco Small Cap Fund			240303	0	0	240303	2.36%	240303	0	240303	2.36%	0	0.00%	NA	NA	240303		
b	Venture Capital Funds		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
c	Alternate Investment Funds		3	319266	0	0	319266	3.13%	0	0	0	0.00%	0	0.00%	NA	NA	319266		
	Abakkus Emerging Opportunities Fund-1			20550	0	0	20550	0.20%	0	0	0	0.00%	0	0.00%	NA	NA	205500		
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
e	Foreign Portfolio Investors		80	618312	0	0	618312	6.07%	618312	0	618312	6.07%	0	0.00%	NA	NA	617518		
	Multiples Private Equity Fii I			169283	0	0	169283	1.66%	169283	0	169283	1.66%	0	0.00%	NA	NA	169283		
f	Financial Institutions/ Banks		42	145097	0	0	145097	1.42%	145097	0	145097	1.42%	0	0.00%	NA	NA	124050		
	Life Insurance Corporation Of India			109954	0	0	109954	1.08%	109954	0	109954	1.08%	0	0.00%	NA	NA	109954		
g	Insurance Companies		2	335426	0	0	335426	3.29%	335426	0	335426	3.29%	0	0.00%	NA	NA	335426		
	The New India Assurance Company Limited			261352	0	0	261352	2.56%	261352	0	261352	2.56%	0	0.00%	NA	NA	261352		
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
i	Any Other (specify)		1	31	0	0	31	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	31		
	Foreign Bank			31	0	0	31	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	31		
	Sub-Total (B)(1)		164	2225896	0	0	2225896	21.84%	2225896	0	2225896	21.84%	0	0.00%	NA	NA	2203646		
2	Central Government/ State Government(s)/ President of India		2	8	0	0	8	0.00%	8	0	8	0.00%	0	0.00%	NA	NA	8		
	Sub-Total (B)(2)		2	8	0	0	8	0.00%	8	0	8	0.00%	0	0.00%	NA	NA	8		
3	Non-institutions											0.00%							
a	Individuals -		101572	2369205	0	0	2369205	23.24%	2369205	0	2369205	23.24%	0	0.00%	NA	NA	2183408		
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		101568	2177278	0	0	2177278	21.36%	2177278	0	2177278	21.36%	0	0.00%	NA	NA	1991481		
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	191927	0	0	191927	1.88%	191927	0	191927	1.88%	0	0.00%	NA	NA	191927		
b	NBFCs registered with RBI		5	258	0	0	258	0.00%	258	0	258	0.00%	0	0.00%	NA	NA	258		
c	Employee Trusts		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
e	Any Other (specify)		4848	1350936	0	0	1350936	13.25%	1350936	0	1350936	13.25%	0	0.00%	NA	NA	1325736		
	Trusts		19	299719	0	0	299719	2.94%	299719	0	299719	2.94%	0	0.00%	NA	NA	299719		
	Aml Employee Welfare Trust			233709	0	0	233709	2.29%	233709	0	233709	2.29%	0	0.00%	NA	NA	233709		
	Foreign Nationals		1	450	0	0	450	0.00%	450	0	450	0.00%	0	0.00%	NA	NA	450		
	Hindu Undivided Family		2220	180099	0	0	180099	1.77%	180099	0	180099	1.77%	0	0.00%	NA	NA	180099		
	Non Resident Indians		1783	103553	0	0	103553	1.02%	103553	0	103553	1.02%	0	0.00%	NA	NA	86492		
	Overseas Bodies Corporate		1	107	0	0	107	0.00%	107	0	107	0.00%	0	0.00%	NA	NA	107		
	Clearing Member		139	52761	0	0	52761	0.52%	52761	0	52761	0.52%	0	0.00%	NA	NA	52761		
	IEPF		1	4009	0	0	4009	0.04%	4009	0	4009	0.04%	0	0.00%	NA	NA	4009		
	Bodies Corporate		684	710238	0	0	710238	6.97%	710238	0	710238	6.97%	0	0.00%	NA	NA	702099		
	Sub-Total (B)(3)		106425	3720399	0	0	3720399	36.50%	3720399	0	3720399	36.50%	0	0.00%	NA	NA	3509402		
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		106591	5946303	0	0	5946303	58.33%	5946303	0	5946303	58.33%	0	0.00%	NA	NA	5713056		

Table IIIA - Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %
0	0	0	0

Table IIIB - Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
0	0

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									(IX)					(XII)		(XIII)			
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		

Table V - Statement showing shareholding pattern of Significant Beneficial Owners (SBO)

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Securities Private Limited	AABCM0602L		India		34.84	34.84	34.84	No	No	04/12/2018
2	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Business Ventures LLP	ABHFA4336M		India		3.27	3.27	3.27	No	No	04/12/2018
3	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Merchandise Pvt. Ltd.	AAKCA0873G		India		0.00	0.00	0.00	No	No	04/12/2018
4	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Business Enterprise Pvt Ltd	AACCF6701A		India		0.00	0.00	0.00	No	No	04/12/2018
5	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Securities Pvt Ltd	ABBFA4102H		India		0.00	0.00	0.00	No	No	04/12/2018
6	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Securities Private Limited	AABCM0602L		India		34.84	34.84	34.84	No	No	04/12/2018
7	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Business Ventures LLP	ABHFA4336M		India		3.27	3.27	3.27	No	No	04/12/2018
8	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Merchandise Pvt. Ltd.	AAKCA0873G		India		0.00	0.00	0.00	No	No	04/12/2018
9	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Business Enterprise Pvt Ltd	AACCF6701A		India		0.00	0.00	0.00	No	No	04/12/2018
10	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Securities Pvt Ltd	ABBFA4102H		India		0.00	0.00	0.00	No	No	04/12/2018

Notes for SBO:

- Sanjaybhai Shrenikbhai Lalbhai and Jayshreeben Sanjaybhai Lalbhai, being Trustees of a discretionary Trust, have been considered as Significant Beneficial Owners.
- Aura Securities Private Limited is holding shares as a partner of a Partnership firm under PAN: ABBFA4102H. (Point Nos. 5 and 10)