- 1. Name of Listed Entity : The Anup Engineering Limited (Formerly Known as Anveshan Heavy Engineering Limited)
- 2. Scrip Code/Name of Scrip/Class of Security : 542460 (BSE) & ANUP (NSE), Equity Shares
- 3. Share Holding Pattern Filed under : **31(1)(b)**
- 4. Share Holding Pattern as on : **30-09-2019**
- 5. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of	No. of	No. of	No. of shares	Total nos. of	Shareholding	Num	ber of	Voting Right	ts held	No. of Shares	Shareholding,	Nun	nber of	Number of	of Shares	Number of	
(I)	(11)	shareholders	fully paid	Partly	underlying	shares held	as a % of total	in	each c	lass of secur	ities	Underlying	as a % assuming	Loc	ked in	pledg	ed or	equity shares	
		(111)	up equity	paid-up	Depository	(VII)=(IV)+	no. of shares					Outstanding	full conversion of	sh	ares	other	held in		
			shares held	equity	Receipts	(V)+(VI)	(calculated as	(IX) con		convertible	convertible securities			encumbered		dematerialized			
			(IV)	shares	(VI)		per SCRR, 1957)		se		securities	(as a percentage of	as a percentage of ((XII)) (XIII)		form
				held			(VIII)	No. of	Voting	Rights	Total as a %	(including	diluted share capital)	No.	As a %	No.	As a %	(XIV)	
				(V)			As a % of	Class	Class	Total	of (A+B+ C)	Warrants)	(XI)= (VII)+(X)	(a)	of total	(a)	of total		
							(A+B+C2)	eg: X	eg: y			(X)	As a % of		Shares		Shares		
													(A+B+C2)		held		held		
Α	Promoter & Promoter	30	4253215	0	0	4253215	41.72%	4253215	0	4253215	41.70%	0	41.72%	0	0.00%	157407	3.70%	4252627	
	Group																		
В	Public	106591	5946303	0	0	5940747	58.28%	5946303	0	5946303	58.30%	0	58.28%	0	0.00%	NA	NA	5713056	
С	Non Promoter- Non	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0	
	Public																		
C1	Shares underlying DRs	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0	
C2	Shares held by	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0	
	Employee Trusts																		
	Total	106621	10199518	0	0	10193962	100.00%	10199518	0	10199518	100.00%	0	100.00%	0	0.00%	157407	1.54%	9965683	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares	Partly paid-up equity shares held	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+ (V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)			Voting Rights ass of securi (IX)		No. of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of convertible securities	Locked	l in shares	or otherwis	Shares pledged e encumbered	Number of equity shares held in dematerialized form
			held (IV)	(V)	(**)		As a % of (A+B+C2) (VIII)					securities (including	(as a percentage of diluted share		(XII)		XIII)	(XIV)
								No. of	Voting R	tights	Total as a % of Total Voting rights	Warrants) (X)	capital) (XI)= (VII)+(X)	No. (a)	As a % of total Shares	No. (a)	As a % of total Shares	
								Class eg: X	Class eg: Y	Total			As a % of (A+B+C2)		held (b)		held (b)	
1 Indian a Individuals/		16	44127	0	0 0	44127	0.43%	44127	0	44127	0.43%	0	0.43%	0	0.00%	0	0.00%	4353
Hindu undivided Family Samvegbhai Arvindbhai			7476	0	0	7476	0.07%	7476	0	7476	0.07%	0	0.07%	C	0.00%	0	0.00%	747
Snehal Mohta			7000 5023	0	0	7000	0.07%	7000	0	7000	0.07%	0	0.07%	0	0.00%	0	0.00%	700
Jayshreeben Sanjaybhai Lalbhai				-	-	5023	0.05%	5023		5023	0.05%	U	0.05%	U	0.00%		0.00%	502
Kalpana Shripal Morakhia Saumya Samvegbhai Lalbhai			4928 2863	0		4928 2863	0.05%	4928 2863	0	4928 2863	0.05%	0	0.05%	0	0.00%	0	0.00%	492
Swati S Lalbhai Taral S Lalbhai			2795 2642	0		2795 2642	0.03%	2795 2642	0	2795 2642	0.03%	0	0.03%	0	0.00%	0	0.00%	279 264
Sunil Siddharth Lalbhai			2581	0	0	2581	0.03%	2581	0	2581	0.03%	0	0.03%	0	0.00%	0	0.00%	258
Vimla S Lalbhai Kulin Sanjaybhai			2577 1960	0		2577	0.03%	2577 1960	0	2577 1960	0.03%	0	0.03%	0	0.00%	0	0.00%	257 196
Sanjaybhai Shrenikbhai Lalbhai			1456	0		1456	0.01%	1456	0	1456	0.01%	0	0.01%	0	0.00%	0		145
Samvegbhai Arvindbhai Lalbhai			1344	0	0	1344	0.01%	1344	0	1344	0.01%	0	0.01%	0	0.00%	0	0.00%	134
Hansa Niranjanbhai Badlani Manini Rajiv			1010 264	0		1010 264	0.01%	1010 264	0	1010 264	0.01%	0	0.01%	0	0.00%	0	0.00%	42 26
Punit Sanjaybhai			137	0	0	137	0.00%	137	0	137	0.00%	0	0.00%	0	0.00%	0	0.00%	13
Astha Lalbhai Lalbhai Poorva Punitbhai			71	0		71		71 0	0	71	0.00%	0	0.00%	0	0.00%	0		7
Jaina Kulin Lalbhai			0	0	-	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Ishaan Punit Lalbhai Ruhani Punit Lalbhai			0	0		0		0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Ananyaa Kulin Lalbhai			0	0		0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Anamikaben Samveghbhai Lalbhai			U	-		-				U		U		U				
Sunil Siddharth HUF b Central Government/ State		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Government(s)					-	•			-									
c Financial Institutions/ Banks d Any Other (specify)		0	0 4209088	0		4209088	0.00%	0 4209088	0	4209088	0.00%	0	0.00%	0	0.00%	0 157407	0.00%	420908
Bodies Corporate		14	4209088	0	0	4209088	41.29%	4209088	0	4209088	41.29%	0	41.29%	0	0.00%	157407	3.74%	420908
Aura Securities Private Limited			3551617	0	0	3551617	34.84%	3551617	0	3551617	34.84%	0	34.84%	C	0.00%	157407	4.43%	355161
Aura Business Ventures LLP Atul Limited			333329 152869	0		333329 152869	3.27% 1.50%	333329 152869	0	333329 152869	3.27% 1.50%	0	3.27%	0	0.00%	0	0.00%	33332 15286
Aagam Holdings Private Limited			97491	0	0	97491	0.96%	97491	0	97491	0.96%	0	0.96%	0	0.00%	0	0.00%	9749
Arvind Farms Pvt Ltd			55189	0	0	55189	0.54%	55189	0	55189	0.54%	0	0.54%	0	0.00%	0	0.00%	5518
Adore Investments Private			6775	0		6775	0.07%	6775	0	6775	0.07%	0	0.07%	0	0.00%	0	0.00%	677
Anusandhan Investments Limited			4259	0	0	4259	0.04%	4259	0	4259	0.04%	0	0.04%	C	0.00%	0	0.00%	425
Amardeep Holdings Private			3490	0	0	3490	0.03%	3490	0	3490	0.03%	0	0.03%	0	0.00%	0	0.00%	349
Limited Aayojan Resources Private Ltd			3370	0	0	3370	0.03%	3370	0	3370	0.03%	0	0.03%	0	0.00%	0	0.00%	337
Adhinami Investments Private			685	0	0	685	0.01%	685	0	685	0.01%	0	0.01%	C	0.00%	0	0.00%	68
Limited Akshita Holdings Private Limited			5	0	0	5	0.00%	5	0	5	0.00%	0	0.00%	0	0.00%	0	0.00%	
Aura Business Enterprise Pvt Ltd			3	0	0	3	0.00%	3	0	3	0.00%	0	0.00%	0	0.00%	0	0.00%	
Aura Merchandise Pvt. Ltd.			3	0	0	3	0.00%	3	0	3	0.00%	0	0.00%	0	0.00%	0	0.00%	
Aura Securities Pvt Ltd			3	0	0	3	0.00%	3	0	3	0.00%	0	0.00%	0	0.00%	0	0.00%	
Arvind Limited Arvind SmartSpaces Limited	-		0	0		0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0		
Arvind Fashions Limited			0	0		0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Anukul Investments Private			0	0	-	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Shruti Trade Link Private Limited Kasturbhai Lalbhai Museum	-		0	0	-	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Amplus Capital Advisors Private			0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Adhigam Investments Private Aahvan Agencies Limited	<u> </u>		0	0		0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0		
Aanvan Agencies Limited Amal Limited			0	0		0		0	0	0	0.00%	0	0.00%	0	0.00%	0		
Atul Finserv Limited (Formerly			0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
Anchor Adhesives Private Limited Atul Bioscience Limited	<u> </u>		0	0		0		0	0	0	0.00%	0	0.00%	0	0.00%	0		
Rudolf Atul Chemicals Limited			0	0		0		0	0	0	0.00%	0	0.00%	0	0.00%	0		
Style Audit LLP			0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	C	0.00%	0	0.00%	
JP Trunkshow LLP Sub-Total (A)(1)	-	30	0 4253215	0		0 4253215	0.00%	0 4253215	0	0 4253215	0.00%	0	0.00%	0	0.00%	0 157407	0.00% 3.70%	425262
2 Foreign		0		0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	423202
a Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0		0		0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
b Government c Institutions		0	0	0		0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0		
d Foreign Portfolio Investor		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	0	0.00%	
e Any Other (specify) Sub-Total (A)(2)		0	0	0				0	0	0	0.00%	0	0.00%	0	0.00%	0		
		30	4253215	0		4253215	41.72%	4253215	0	4253215	41.72%	-	41.72%		0.00%	157407	3.70%	425262

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares											
held in demat/unclaimed suspense account, voting rights which are frozen etc.											
No. of shareholders No. of share held											
0	0										

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity	Partly paid- up equity shares held (V)	No. of shares underlying Depository Receipts	Total nos. of shares held (VII)=(IV)+ (V)+(VI)	Shareholding as a % of total no. of shares (calculated as			Voting Rights Class of securit (IX)		No. of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of convertible	Lo	imber of ocked in shares		of Shares otherwise ibered	Number of equity shares held in dematerialized
				shares held		(VI)		per SCRR, 1957) As a % of (A+B+C2)					convertible securities	securities (as a percentage of diluted share		(XII)	(X	III)	form (XIV)
				(IV)				(VIII)	No of Class	Voting Class	Rights Total	Total as a % of Total Voting	(including Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	of total Shares held	No. (a)	As a % of total Shares held	
1	Institutions								eg: X	eg: Y		rights				(b)		(b)	
a	Institutions Mutual Funds		36	807764	0	0	807764	7.92%	807764	0	807764	7.92%	0	7.92%	0	0.00%	NA	NA	807355
	Hdfc Trustee Company Ltd - A/C Hdfc Mid -			501325	0	0	501325	4.92%	501325	0	501325	4.92%	0	4.92%	0	0.00%	NA	NA	501325
	Capopportunities Fund Canara Robeco Mutual Fund A/C Canara Robeco Small Cap			240303	0	0	240303	2.36%	240303	0	240303	2.36%	0	2.36%	0	0.00%	NA	NA	240303
b	Fund Venture Capital Funds		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
_	Alternate Investment Funds		3	319266	0	•	319266			0	0	0.00%	0		0	0.00%	NA	NA	319266
	Abakkus Emerging Opportunities Fund-1			20550	0	0	20550			0	0	0.00%	0		0	0.00%	NA	NA	205500
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
е	Foreign Portfolio Investors		80	618312	0	0	618312	6.07%	618312	0	618312	6.07%	0		0	0.00%	NA	NA	617518
-	Multiples Private Equity Fii I			169283	0	0	169283	1.66%	169283		169283	1.66%	0	100/0	0	0.00%	NA	NA	169283
t	Financial Institutions/ Banks Life Insurance Corporation Of India		42	145097 109954	0	0	145097 109954	1.42% 1.08%	145097 109954		145097 109954	<u>1.42%</u> 1.08%	0 0		0	0.00%	NA NA	NA NA	124050 109954
g	Insurance Companies		2	335426	0	0	335426	3.29%	335426	0	335426	3.29%	0	3.29%	0	0.00%	NA	NA	335426
	The New India Assurance Company Limited			261352	0	0	261352	2.56%	261352		261352	2.56%	0		0	0.00%	NA	NA	261352
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.00%	NA	NA	0
i	Any Other (specify)		1	31		0	31		0	•	0	0.00%	0	0.00%	0	0.00%	NA	NA	31
	Foreign Bank		1 164	31 2225896		0	31 2225896	0.00% 21.84%	0 2225896	0	0 2225896	0.00%	0		0	0.00%	NA NA	NA NA	31 2203646
2	Sub-Total (B)(1) Central Government/ State Government(s)/ President of India		2	8	0	0	8	0.00%	8		8	0.00%	0			0.00%	NA	NA	8
	Sub-Total (B)(2)		2	8	0	0	8	0.00%	8	0	8	0.00%	0	0.00%	0	0.00%	NA	NA	8
3	Non-institutions				-									0.00%					
a i	Individuals - Individual shareholders holding nominal share capital		101572 101568	2369205 2177278	0	0 0	2369205 2177278	23.24% 21.36%	2369205 2177278		2369205 2177278	23.24% 21.36%	0	23.24% 21.36%		0.00%	NA NA	NA NA	2183408 1991481
ii	un to Rs. 2 lakhs. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	191927	0	0	191927	1.88%	191927	0	191927	1.88%	0	1.88%	0	0.00%	NA	NA	191927
	NBFCs registered with RBI		5		0	0	258		258		258	0.00%	0	0.00%	0	0.00%	NA	NA	258
	Employee Trusts Overseas Depositories (holding DRs) (balancing figure)		0			÷	0	0.0070	<u> </u>			<u>0.00%</u> 0.00%	<u> </u>				NA NA	NA NA	0
е	Any Other (specify)		4848			-			1350936			13.25%	0			0.00%	NA	NA	1325736
-	Trusts Aml Employee Welfare Trust	<u> </u>	19	299719 233709	0	•	299719 233709	2.94% 2.29%	299719 233709		299719 233709	2.94%	0			0.00%	NA NA	NA NA	299719 233709
E	Foreign Nationals		1	233709 450	0	0			<u>233709</u> 450			0.00%	0			0.00%	NA	NA	450
	Hindu Undivided Family		2220	180099	0	•	180099	1.77%	180099	0	180099	1.77%	0	1.77%	0	0.00%	NA	NA	180099
-	Non Resident Indians Overseas Bodies Corporate	<u> </u>	1783 1	<u>103553</u> 107			<u>103553</u> 107	1.02% 0.00%	<u>103553</u> 107			<u>1.02%</u> 0.00%	0			0.00%	NA NA	NA	86492 107
-	Clearing Member		139			-			52761			0.00%	0			0.00%	NA NA	NA	52761
	IEPF		1	4009	0	0	4009	0.04%	4009	0	4009	0.04%	0	0.04%	0	0.00%	NA	NA	4009
	Bodies Corporate		684			-			710238			6.97%	0			0.00%	NA	NA	702099
┣—	Sub-Total (B)(3) Total Public Shareholding	<u> </u>	106425 106591	3720399 5946303		0	3720399 5946303		3720399 5946303		3720399 5946303	36.50% 58.33%	0			0.00%	NA NA	NA	3509402 5713056
	(B)=(B)(1)+(B)(2)+(B)(3)		100591	5540303	0	0	3340303	50.55%	5740303		5,740303	30.33%	0	50.33%		0.00%	11/4	N/A	3713030

Table IIIA - Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding												
Name of shareholder Name of PAC No. of share Holding %												
0	0	0	0									

Table IIIB - Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of											
shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen											
etc.											
No. of shareholders	No of share held										
0	0										

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+ (V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No	I	(IX) ting	g Rights Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)		Imber of ocked in shares (XII) As a % of total Shares held (b)	Shar or o	umber of res pledged otherwise cumbered (XIII) As a % of total Shares held (b)	Number of equity shares held in dematerialized form (XIV)
1	Custodian/DR Holder		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.0%	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	O	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.0%	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	o	0	0.00%	0	0	0	0.00%	0	0.00%	0	0.0%	NA	NA	0

Table V - Statement showing shareholding pattern of Significant Beneficial Owners (SBO)

Sr. No.		Details of t	he SBO			Deta	ils of the regist	ered owner			Details	Date of				
	Name	PAN	Passport No. in	Nationality	Nationality	Name	PAN	Passport	Nationality	Nationality			Whether by v	irtue of:	creation /	
			case of a foreign		(Applicable			No. in case		(Applicable	Shares	Voting	Rights on	Exercise	Exercise of	acquisition of
			national		in case of			of a foreign		in case of		rights	distributable	of control	significant	significant
					Any other is			national		Any other is			dividend or		influence	beneficial
					selected)					selected)			any other			interest
1	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Securities Private Limited	AABCM0602L		India		34.84	34.84	distribution 34.84	No	No	04/12/2018
2	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Business Ventures LLP	ABHFA4336M		India		3.27	3.27	3.27	No	No	04/12/2018
3	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Merchandise Pvt. Ltd.	AAKCA0873G		India		0.00	0.00	0.00	No	No	04/12/2018
4	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Business Enterprise Pvt Ltd	AACCF6701A		India		0.00	0.00	0.00	No	No	04/12/2018
5	Sanjaybhai Shrenikbhai Lalbhai	ABCPL6596P		India		Aura Securities Pvt Ltd	ABBFA4102H		India		0.00	0.00	0.00	No	No	04/12/2018
6	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Securities Private Limited	AABCM0602L		India		34.84	34.84	34.84	No	No	04/12/2018
7	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Business Ventures LLP	ABHFA4336M		India		3.27	3.27	3.27	No	No	04/12/2018
8	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Merchandise Pvt. Ltd.	AAKCA0873G		India		0.00	0.00	0.00	No	No	04/12/2018
9	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Business Enterprise Pvt Ltd	AACCF6701A		India		0.00	0.00	0.00	No	No	04/12/2018
10	Jayshreeben Sanjaybhai Lalbhai	AADPL4080A		India		Aura Securities Pvt Ltd	ABBFA4102H		India		0.00	0.00	0.00	No	No	04/12/2018

Notes for SBO:

1. Sanjaybhai Shrenikbhai Lalbhai and Jayshreeben Sanjaybhai Lalbhai, being Trustees of a discretionary Trust, have been considered as Significant Beneficial Owners.

2. Aura Securities Private Limited is holding shares as a partner of a Partnership firm under PAN: ABBFA4102H. (Point Nos. 5 and 10)