

1. Name of Listed Entity : **The Anup Engineering Limited (Formerly Known as Anveshan Heavy Engineering Limited)**
2. Scrip Code/Name of Scrip/Class of Security : **542460 (BSE) & ANUP (NSE), Equity Shares**
3. Share Holding Pattern Filed under : **31(1)(b)**
4. Share Holding Pattern as on : **30.06.2020**
5. Declaration : **The Listed entity is required to submit the following declaration to the extent of submission of information:-**

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+ (V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								(IX)			Total as a % of (A+B+ C)			(XII)		(XIII)		
								No. of Voting Rights						No. (a)	As a % of total Shares held	No. (a)	As a % of total Shares held	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	30	4253215	0	0	4253215	41.70%	4253215	0	4253215	41.70%	0	0.00%	0	0	4252627		
B	Public	99609	5946303	0	0	5946303	58.30%	5946303	0	5946303	58.30%	0	0.00%	0	0	5724353		
C	Non Promoter- Non Public	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0	0		
C1	Shares underlying DRs	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0	0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	0	0	0		
Total		99639	10199518	0	0	10199518	100.00%	10199518	0	10199518	100.00%	0	0.00%	0	0	9976980		

Table IIA - Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No. of share held
0	0

Table III - Statement showing shareholding pattern of the Public shareholder

1	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
a	Institutions																		
	Mutual Funds		34	590950	0	0	590950	5.79%	590950	0	590950	5.79%	0	0.00%	NA	NA	590541		
	Hdfc Trustee Company Ltd - A/C Hdfc Mid - Capopportunities Fund			590501	0	0	590501	5.79%	590501	0	590501	5.79%	0	0.00%	NA	NA	590501		
b	Venture Capital Funds		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
c	Alternate Investment Funds		3	617598	0	0	617598	6.06%	617598	0	617598	6.06%	0	0.00%	NA	NA	617598		
	Abakkus Emerging Opportunities Fund-1			503832	0	0	503832	4.94%	503832	0	503832	4.94%	0	0.00%	NA	NA	503832		
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
e	Foreign Portfolio Investors		55	301932	0	0	301932	2.96%	301932	0	301932	2.96%	0	0.00%	NA	NA	301138		
	Habrok India Master Lp			120000	0	0	120000	1.18%	120000	0	120000	1.18%	0	0.00%	NA	NA	120000		
f	Financial Institutions/ Banks		39	28947	0	0	28947	0.28%	28947	0	28947	0.28%	0	0.00%	NA	NA	7900		
g	Insurance Companies		1	261352	0	0	261352	2.56%	261352	0	261352	2.56%	0	0.00%	NA	NA	261352		
	The New India Assurance Company Limited			261352	0	0	261352	2.56%	261352	0	261352	2.56%	0	0.00%	NA	NA	261352		
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
i	Any Other (specify)		1	31	0	0	31	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	31		
	Foreign Bank		1	31	0	0	31	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	31		
	Sub-Total (B)(1)		133	1800810	0	0	1800810	17.66%	1800810	0	1800810	17.66%	0	0.00%	NA	NA	1778560		
2	Central Government/ State Government(s)/ President of India		2	8	0	0	8	0.00%	8	0	8	0.00%	0	0.00%	NA	NA	8		
	Sub-Total (B)(2)		2	8	0	0	8	0.00%	8	0	8	0.00%	0	0.00%	NA	NA	8		
3	Non-institutions							0.00%				0.00%							
a	Individuals -		94962	2928189	0	0	2928189	28.71%	2928189	0	2928189	28.71%	0	0.00%	NA	NA	2753689		
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		94952	2467492	0	0	2467492	24.19%	2467492	0	2467492	24.19%	0	0.00%	NA	NA	2292992		
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		10	460697	0	0	460697	4.52%	460697	0	460697	4.52%	0	0.00%	NA	NA	460697		
	Chetan Jayantil Shah			150000	0	0	150000	1.47%	150000	0	150000	1.47%	0	0.00%	NA	NA	150000		
b	NBFCs registered with RBI		5	258	0	0	258	0.00%	258	0	258	0.00%	0	0.00%	NA	NA	258		
c	Employee Trusts		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
e	Any Other (specify)		4507	1217038	0	0	1217038	11.93%	1217038	0	1217038	11.93%	0	0.00%	NA	NA	1191838		
	Trusts		14	239848	0	0	239848	2.35%	239848	0	239848	2.35%	0	0.00%	NA	NA	239848		
	Aml Employee Welfare Trust			233709	0	0	233709	2.29%	233709	0	233709	2.29%	0	0.00%	NA	NA	233709		
	Foreign Nationals		3	487	0	0	487	0.00%	487	0	487	0.00%	0	0.00%	NA	NA	487		
	Hindu Undivided Family		2079	127553	0	0	127553	1.25%	127553	0	127553	1.25%	0	0.00%	NA	NA	127553		
	Non Resident Indians		1683	123124	0	0	123124	1.21%	123124	0	123124	1.21%	0	0.00%	NA	NA	106063		
	Overseas Bodies Corporate		1	107	0	0	107	0.00%	107	0	107	0.00%	0	0.00%	NA	NA	107		
	Clearing Member		94	40603	0	0	40603	0.40%	40603	0	40603	0.40%	0	0.00%	NA	NA	40603		
	IEPF		1	4009	0	0	4009	0.04%	4009	0	4009	0.04%	0	0.00%	NA	NA	4009		
	Bodies Corporate		632	681307	0	0	681307	6.68%	681307	0	681307	6.68%	0	0.00%	NA	NA	673168		
	Sub-Total (B)(3)		99474	4145485	0	0	4145485	40.64%	4145485	0	4145485	40.64%	0	0.00%	NA	NA	3945785		
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		99609	5946303	0	0	5946303	58.30%	5946303	0	5946303	58.30%	0	0.00%	NA	NA	5724353		

Table IIIA - Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %
0	0	0	0

Table IIIB - Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
0	0

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									(IX)					(XII)		(XIII)			
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00%	0	0	0	0.00%	0	0.00%	NA	NA	0		

The Anup Engineering Limited
Share Holding pattern as on 30.06.2020

Table V - Statement showing shareholding pattern of Significant Beneficial Owners (SBO)

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Sanjaybhai Shrenikbhai Lalbhai			India		Aura Securities Private Limited			India		34.82	34.82	34.82	No	No	04/12/2018
2	Sanjaybhai Shrenikbhai Lalbhai			India		Aura Business Ventures LLP			India		3.27	3.27	3.27	No	No	04/12/2018
3	Sanjaybhai Shrenikbhai Lalbhai			India		Aura Merchandise Pvt. Ltd.			India		0.00	0.00	0.00	No	No	04/12/2018
4	Sanjaybhai Shrenikbhai Lalbhai			India		Aura Business Enterprise Pvt Ltd			India		0.00	0.00	0.00	No	No	04/12/2018
5	Sanjaybhai Shrenikbhai Lalbhai			India		Aura Securities Pvt Ltd			India		0.00	0.00	0.00	No	No	04/12/2018
6	Jayshreeben Sanjaybhai Lalbhai			India		Aura Securities Private Limited			India		34.82	34.82	34.82	No	No	04/12/2018
7	Jayshreeben Sanjaybhai Lalbhai			India		Aura Business Ventures LLP			India		3.27	3.27	3.27	No	No	04/12/2018
8	Jayshreeben Sanjaybhai Lalbhai			India		Aura Merchandise Pvt. Ltd.			India		0.00	0.00	0.00	No	No	04/12/2018
9	Jayshreeben Sanjaybhai Lalbhai			India		Aura Business Enterprise Pvt Ltd			India		0.00	0.00	0.00	No	No	04/12/2018
10	Jayshreeben Sanjaybhai Lalbhai			India		Aura Securities Pvt Ltd			India		0.00	0.00	0.00	No	No	04/12/2018

Notes for SBO:

- Sanjaybhai Shrenikbhai Lalbhai and Jayshreeben Sanjaybhai Lalbhai, being Trustees of a discretionary Trust, have been considered as Significant Beneficial Owners.
- Aura Securities Private Limited is holding shares as a partner of a Partnership firm under PAN: ABBFA4102H. (Point Nos. 5 and 10)