

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L29306GJ2017PLC099085

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAQCA0309R

(ii) (a) Name of the company

THE ANUP ENGINEERING LIMIT

(b) Registered office address

BEHIND 66 KV,
ELEC.SUB-STATION,ODHAV ROAD,
AHMEDABAD
Ahmedabad
Gujarat
382415

(c) *e-mail ID of the company

chintankumar.patel@anupeng

(d) *Telephone number with STD code

07922872823

(e) Website

www.anupengg.com

(iii) Date of Incorporation

14/09/2017

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 17/08/2021

(b) Due date of AGM 30/09/2021

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ANUP HEAVY ENGINEERING LII	U29100GJ2019PLC111583	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	65,250,000	9,831,150	9,831,150	9,831,150
Total amount of equity shares (in Rupees)	652,500,000	98,311,500	98,311,500	98,311,500

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	65,250,000	9,831,150	9,831,150	9,831,150
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	652,500,000	98,311,500	98,311,500	98,311,500

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	10,199,518	10199518	101,995,180	101,995,180	

Increase during the year	0	19,482	19482	194,820	194,820	4,559,178
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	19,482	19482	194,820	194,820	4,559,178
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	387,850	387850	3,878,500	3,878,500	0
i. Buy-back of shares	0	387,850	387850	3,878,500	3,878,500	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	9,831,150	9831150	98,311,500	98,311,500	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE294Z01018

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	26/09/2020		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

2,791,295,859.88

(ii) Net worth of the Company

3,373,901,750.09

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	44,127	0.45	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,209,088	42.81	0	
10.	Others	0	0	0	
	Total	4,253,215	43.26	0	0

Total number of shareholders (promoters)

52

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,178,036	32.33	0	
	(ii) Non-resident Indian (NRI)	129,775	1.32	0	
	(iii) Foreign national (other than NRI)	37	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	8	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	261,352	2.66	0	
4.	Banks	21,308	0.22	0	
5.	Financial institutions	14	0	0	
6.	Foreign institutional investors	163,604	1.66	0	
7.	Mutual funds	715,498	7.28	0	

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	52	52
Members (other than promoters)	100,681	95,211
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	3	0	3	0	0.03
B. Non-Promoter	0	3	0	3	0	0.26
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0.26
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	6	0	6	0	0.29

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANJAYBHAI SHRENI	00008329	Director	1,456	
PUNIT SANJAY LALBH	05125502	Director	137	
SAMVEGBHAI ARVIND	00009278	Director	1,344	
ARPIT KANTILAL PATE	00059914	Director	0	
GANPATRAJ LALCHAN	00344816	Director	0	
REENA PRAVIN BHAG	00096280	Director	26,004	
RISHI ROOP KAPOOR	ABHPK4862G	CEO	11	
BHAVESH SHAH	ASGPS4305J	CFO	11	
CHINTANKUMAR PATE	AOHPP1129E	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAKESH KUMAR POD	ASGPS4305J	CFO	01/08/2020	Cessation
BHAVESH SHAH	ASGPS4305J	CFO	01/08/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	26/09/2020	99,551	69	1.11

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/06/2020	6	6	100
2	01/08/2020	6	5	83.33
3	31/10/2020	6	4	66.67
4	30/01/2021	6	5	83.33
5	10/02/2021	6	5	83.33

C. COMMITTEE MEETINGS

Number of meetings held

15

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committe	24/06/2020	3	3	100
2	Audit Committe	01/08/2020	3	3	100
3	Audit Committe	31/10/2020	3	2	66.67
4	Audit Committe	30/01/2021	3	2	66.67
5	Nomination an	01/08/2020	3	3	100
6	Stakeholder's	01/08/2020	3	3	100
7	Corporate Soc	24/06/2020	3	3	100
8	Corporate Soc	31/10/2020	3	2	66.67
9	Management C	24/06/2020	2	2	100
10	Management C	01/08/2020	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	17/08/2021
								(Y/N/NA)
1	SANJAYBHAI	5	5	100	7	7	100	Yes
2	PUNIT SANJA	5	5	100	11	11	100	Yes
3	SAMVEGBHA	5	2	40	0	0	0	Yes
4	ARPIT KANTII	5	5	100	8	8	100	Yes
5	GANPATRAJ	5	3	60	8	5	62.5	Yes
6	REENA PRAV	5	5	100	4	4	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rishi Roop Kapoor	CEO	30,160,221			1,651,235	31,811,456
2	Bhavesh Shah	CFO	2,685,584			172,884	2,858,468
3	Chintankumar Patel	Company Secre	673,392			38,625	712,017
	Total		33,519,197	0	0	1,862,744	35,381,941

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sanjay S. Lalbhai	Director	0	300,000		50,000	350,000
2	Punit S. Lalbhai	Director	0	400,000		90,000	490,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Samvegbhai Lalbha	Director	0	300,000		20,000	320,000
4	Arpit Patel	Director	0	700,000		140,000	840,000
5	Ganpatraj Chowdha	Director	0	500,000		80,000	580,000
6	Reena Bhagwati	Director	0	500,000		100,000	600,000
	Total		0	2,700,000	0	480,000	3,180,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

8536

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

PUNIT
SANJAY
LALBHAI
Digitally signed by
PUNIT SANJAY
LALBHAI
Date: 2021.09.25
18:02:46 +05'30'

DIN of the director

05125502

To be digitally signed by

CHINTANKUMAR
MAHENDRABHAI
PATEL
Digitally signed by
CHINTANKUMAR
MAHENDRABHAI
PATEL
Date: 2021.09.25
18:21:32 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

29326

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

LHS31032021.pdf
SHT31032021.pdf
LetterROC.pdf
FII.pdf
MGT82021.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



Date: 08.09.2021

To,
The Registrar of Companies
Office of Registrar of Companies, Gujarat,
ROC Bhavan, Opp. Rupal Park,
Naranpura, Ahmedabad- 380013

Dear Sir/Madam,

Sub: Clarification for Annual Return for FY 2020-21
Ref: L29306GJ2017PLC099085

With reference to above subject, we hereby clarify following details with respect to filling of E Form MGT 7 – Annual Return for the year 2020-21 of the company.

At the end of Financial Year 2020-21, the company has total 95,241 shareholders out of which 30 shareholders are holding 42,53,215 shares (43.26% of total share capital) belongs to promoters and promoters group. However, we have mentioned total 52 numbers of promoters and promoters group in point No. VII which include 22 numbers of promoter and promoters group are holding Nil shares but they are part of promoter and promoters group.

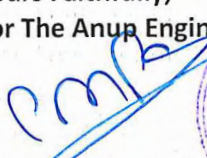
Details	*Number
Total number of shareholders (promoters)	52
Total number of shareholders (other than promoters)	95211
Total	95263

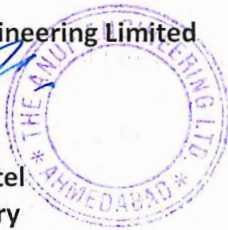
** Please note that we have mentioned the number of shareholders on the PAN bases as per filling of shareholding pattern with stock exchanges as on 31.03.2021 not on Folio – basis hence as per attached list of shareholders, total number is 97062.*

Kindly take the same on your record.

Thanking You

Yours Faithfully,
For The Anup Engineering Limited


Chintankumar Patel
Company Secretary
Mem No. A29326



The Anup Engineering Limited									
Details in respect of shares held by or on behalf of the FIs/ FPIs.									
Sr. No	Name Of FI/FPI	FI/FPI	Folio No/DP Id	Pan No.	Address	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
1	KLEINWORT BENSON INVESTMENT MGT LTD A/C	FII	241963	NA	CITIBANK N A ,CUSTODY SERVICES RAMNORD HOUSE , 77, DR.ANNIE BESANT ROAD, WORLI BOMBAY		NA	9	0.0001
2	NOTZ STUCKI & CIE SA A/C ARUNA FUND	FII	236834	NA	CUSTODIAN SERVICES DEPTATMENT HONGKONG BANK 52/60 MAHATMA GANDHI ROAD, MUMBAI		NA	7	0.0001
3	THE ASIA PACIFIC FUND INC	FII	178965	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	12	0.0001
4	ADVANTAGE ADVISERS INDIA FUND INC	FII	185326	NA	DEUTSCHE BANK AG DOMESTIC CUSTODY SERVICES KODAK HOUSE,222, DR D.N. RD, FORT, MUMBAI		NA	117	0.0012
5	FLEDGELING NOMINEES INTL LTD A/C RISINGH	FII	324946	NA	CITIBANK N A ,CUSTODY SERVICES C/O SULTANALLY'S BUSINESS CENTRE, 77, DR.ANNIE BESANT ROAD, WORLI BOMBAY		NA	17	0.0002
6	PICTET INVESTMENTS (MAURITIUS) LIMITED	FII	244554	NA	DEUTSCHE BANK AG,GROUND FLOOR MHATRE PEN BLDG,TULSI PIPE ROAD DADAR (W) MUMBAI		NA	3	0
7	ROTHSCHILD ASSET MANAGEMENT (HONGKONG) L	FII	242784	NA	DEUTSCHE BANK AG GROUND FLOOR,MHATRE PEN BLDG; TULSI PIPE ROAD,DADAR-W BOMBAY		NA	2	0
8	TAIB BANK E.C. SUB A/C THE EVEREST FUND	FII	247505	NA	ANZ GRINDLAYS BANK LTD. CUSTODIAL SERVICES 132,MINT ROAD,POST BOX # 1175 MUMBAI		NA	9	0.0001
9	CITIBANK INT.PLC. A/C.INDIAN EX.INV.CO.L	FII	197343	NA	CITIBANK N A ,CUSTODY SERVICES RAMNORD HOUSE , 77, DR.ANNIE BESANT ROAD, WORLI BOMBAY		NA	3	0
10	ERISKA INVESTMENT FUND LTD	FPI	IN30152430041080	AACCE7601G	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI		INMUFP094916/	2500	0.0254
11	L F MAURITIUS	FII	178891	NA	CITIBANK N A (CUSTODY SERVICES) C/O SULTANALLY'S BUSS.CEN.DR ANNIE BESANT RD,BARODAWALA MANSION,WORLI BOMBAY		NA	61	0.0006
12	JARDINE FLEMING INT'L MGT INC A/C JARDIN	FII	185484	NA	CITIBANK N A ,CUSTODY SERVICES RAMNORD HOUSE , 77, DR.ANNIE BESANT ROAD, WORLI BOMBAY		NA	44	0.0004
13	MORGAN GRENFELL INVESTMENT MANAGEMENT (A	FII	239474	NA	DEUTSCHE BANK, GROUND FLOOR MHATRE PEN BLDG., TULSI PIPE ROAD, DADAR(W) BOMBAY		NA	22	0.0002
14	BNP PARIBAS ARBITRAGE	FPI	IN30179910081740	AAGFB5324G	BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE,MAKER MAXITY BKC,BANDRA EAST,MUMBAI		INFRFP085515/ NA	208	0.0021
15	FLEDGELING NOMINEES INTL LTD.A/C JARD.FL	FII	182211	NA	CITIBANK N.A., (CUSTODY SERVICE), C/O. SULTANALLY'S BUSSINESS CENTRE, BARODA WALA MANSION,81,A B RD.WORLI BOMBAY		NA	27	0.0003
16	FLEDGLING NOMINEES INTL LTD(A/C JARDINE	FII	174036	NA	CNB N.AC/O SULTANALLY'S BUSI.CENTRE BARODAWALA MENSION DR.ANNIE BASANT ROAD,WORLI BOMBAY		NA	11	0.0001
17	GOVERNMENT OF SINGAPORE INVESTMENT CORPO	FII	252802	NA	DEUTSCHE BANK AG, GROUND FLOOR, MHATRE PEN BLDG, TULSI PIPE ROAD, DADAR (W) MUMBAI		NA	1	0
18	SCHRODER INDIA (MAURITIUS) LTD.SUB A/C O	FII	226491	NA	ICICI LTD., CUSTODIAL SERVICES DEPT "SHANTI" 13 WALCHAND HIRACHAND MARG BOMBAY		NA	11	0.0001
19	FOREIGN & COLONIAL EMERGING MARK.LTD.A/C	FII	176875	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	125	0.0013
20	G T MANAGEMENT (ASIA) LIMITED A/C IBR	FII	179206	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	9	0.0001
21	L G T ASSET MANAGEMENT A/C GT INDIAN SMA	FII	244469	NA	DEUTSCHE BANK AG GROUND FLOOR,MHATRE PEN BLDG TULSI PIPE ROAD,DADAR (W) MUMBAI		NA	1	0
22	MONTGOMERY EMERGING MARKETS FUND	FII	178962	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	7	0.0001
23	PICTET UMBRELLA TRUST EMERGING MARKETS F	FII	242155	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	140	0.0014
24	ADVANTAGE ADVISERS INC A/C THE ASIA TIGE	FII	245570	NA	DEUTSCHE BANK AG GROUND FLOOR,MHATRE PEN BLDG TULSI PIPE ROAD,DADAR (W) MUMBAI		NA	1	0

The Anup Engineering Limited

Details in respect of shares held by or on behalf of the FIs/ FPIs.

Sr. No	Name Of FII/FPI	FII/FPI	Folio No/DP Id	Pan No.	Address	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
25	INTERNATIONAL FINANCE CORPORATION	FPI	144951	NA	THE HONGKONG AND SHANGHAI CORPO.LTD CUSTODY & CLEARING,CENTRAL SERV DEPT SUDAM KALU AHIRE MARG,WORLI BOMBAY		NA	5	0.0001
26	PICTET TARGETED FUND (MAURITIUS) LIMITED	FII	247629	NA	DEUTSCHE BANK AG.GROUND FLOOR, MHATRE PEN BLDG.TULSI PIPE ROAD, DADAR (W) MUMBAI		NA	25	0.0003
27	FLEDGELING NOMINEES INTL.LTD.A/C JARD.FL	FII	182103	NA	CITIBANK N A (CUSTODY SERVICES) C/O. SULTANALLY'S BUSINESS CENTRE BARODAWALA MANSION 81,A B RD. WORLI BOMBAY		NA	2	0
28	HABROK INDIA MASTER LP	FPI	IN30317320093468	AAKFH3387F	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 2ND FLR ZONE I ,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI		INCYFP168617/ NA	158250	1.6097
29	MORGAN STANLEY ASSET MANAGEMENT INC. SUB	FII	186112	NA	STOCK HOLDING CORP OF INDIA LTD 224, MITTAL COURT, & B&W WING, 2ND FLOOR, NARIMAN POINT BOMBAY		NA	42	0.0004
30	PREMIER INVESTMENT FUND LIMITED	FPI	IN30317320000220	AACCP9387L	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI		INMUFP067016/.	1852	0.0188
31	PROLIFIC INTERNATIONAL INDIA OPPORTUNITI	FII	248510	NA	DEUTSCHE BANK AG,GROUND FLOOR MHATRE PEN BLDG,TULSI PIPE ROAD DADAR (W) MUMBAI		NA	7	0.0001
32	THE EMERGING MARKETS STRATEGIC FUND	FII	248311	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	3	0
33	EMERGING MARKETS MANAGEMENT A/C.EMSAF-MA	FII	246489	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	25	0.0003
34	GMO TRUST A/C GMO EMERGING MARKETS FUND	FII	245951	NA	CITIBANK N A ,CUSTODY SERVICES RAMNORD HOUSE , 77, DR.ANNIE BESANT ROAD, WORLI BOMBAY		NA	35	0.0004
35	JOHN GOVETT & CO LTD. A/C GOVETT INDIA F	FII	178032	NA	THE HONGKONG & SHANGHAI BANKING - CORPORATION LTD. C/O. INV DEPT SUDAM KALU AHIRE MARG,WORLI MUMBAI		NA	11	0.0001



Form No. MGT - 8

**[Pursuant to section 92 (2) of the companies Act, 2013 and rule 11 (2) of
Companies (Management and Administration) Rules, 2014]**

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **THE ANUP ENGINEERING LIMITED [CIN: L29306GJ2017PLC099085]**(the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31st March, 2021**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed thereof;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time with normal and additional fees as may be applicable;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return, and in respect of which meetings, the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. closure of Register of Members;
 6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act as the case may be, more specifically described in the related party transactions in audited balance sheet of the company;
 7. contracts/arrangements with related parties as specified in section 188 of the Act, as disclosed in related party transactions in audited balance sheet of the company;





8. the company had allotted 19482 Equity Shares to the eligible employees pursuant to the exercise of the options granted to them under "The Anup Engineering Limited- Employee Stock Option Scheme (DEMERGER) - 2018" on various dates and all necessary compliances has been followed by the company. Transfer or transmissions of shares were done in terms of compliance of law;
9. The Company had bought back 3,87,850 fully paid up Equity Shares of the Company of Face Value of Rs. 10/- (Rupees Ten Only) each at an average price of Rs. 642.54/- per equity share for an aggregate consideration of Rs. 24.92 crores excluding Transaction Costs, from the open market route through the stock exchange mechanism under the Companies Act, 2013 and SEBI Buyback Regulations. The Buyback commenced on 24th February 2021 and closed on 15th March 2021. All the shares bought back have been extinguished as per the records of the depositories. However there were no instances of redemption of preference shares or debentures/ reduction of share capital during the financial year under review;
10. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act, however there were no such instances during the year under review;
11. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
12. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof as applicable, to the extent possible;
13. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them, as the case may be;
14. appointment/ reappointment of auditors as per the provisions of section 139 of the Act, However there was no instance of filling up casual vacancies of auditors during the year under review;
15. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; however, there were no such instances during the year under review;
16. acceptance/ renewal/ repayment of deposits; however, there was no instance of acceptance/ renewal/ repayment of deposits during the year under review;
17. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;





18. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act, wherever applicable;
19. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; however, there were no instances for alteration of the provisions of the Memorandum and Articles of Association of the Company during the year under review.

I further state that during the process of verification, which was done on test check basis, I have adopted practices and processes as were appropriate to obtain reasonable assurance about the compliance with the applicable provisions of the Companies Act, 2013 and my opinion is based on the method adopted for verification. However, maintenance of secretarial records and compliance with the applicable provisions of the Companies Act, 2013 and rules made thereunder is the responsibility of the management of the company as per The Companies Act, 2013. This Certificate is neither an assurance as to the future viability of the company nor of the efficacy or effectiveness with which the management has conducted the affairs of the company.

Place: Ahmedabad
Date: 08.09.2021



Signature: Ankita
Ankita Patel
Practising Company Secretary
ACS/FCS No. : F8536
C P No : 16497
UDIN : F008536C000922449

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
18/06/2020	1	9	000010	00059159	VARSHABEN SURAJLAL S	00400207	MIRABEN VIJAYKUMAR S
29/06/2020	1	2	000010	00381241	VEENA KANTILAL	00400208	KAKU PRADEEP NAKHATE
10/07/2020	1	4	000010	00HA1607	HARSHADLAL MULCHAND	00400209	PINAKIN HARSHADLAL S
13/07/2020	1	136	000010	00V00030	MR VASIBHAI KAHRABHA	00400210	NARANBHAI VASIBHAI D
21/07/2020	1	3	000010	00197751	BHAVARIBEN RAJMAL ME	00400211	BHARTIBEN RAJMAL MEH
01/08/2020	1	1	000010	00141393	JAYA ISRANI	00400212	JAYA ISRANI
18/08/2020	1	9	000010	00SH0652	SHILPA GUNVANTLAL CH	00400213	MANOJ PREMCHAND SHAH
18/08/2020	1	30	000010	00242236	SHILPA MANOJ SHAH	00400213	MANOJ PREMCHAND SHAH
29/08/2020	1	2	000010	00050462	AARTI SIDDHARTH THAK	00400214	NEEPA SIDDHARTH THAK
05/09/2020	1	4	000010	00075151	JAYANTILAL KESHAVLAL	00400215	DILIPKUMAR JAYANTILA
05/09/2020	1	11	000010	00JA1531	JAYANTILAL KESHAVLAL	00400215	DILIPKUMAR JAYANTILA
05/09/2020	1	4	000010	00108110	JAYANTILAL KESHAVLAL	00400215	DILIPKUMAR JAYANTILA
05/09/2020	1	2	000010	00103854	DEVAS ANUBHAI	00400216	DEVAS NISHITHBHAI SH
05/09/2020	1	1	000010	00103855	DEVAS ANUBHAI SHAH	00400217	DEVAS NISHITHBHAI SH
05/09/2020	1	1	000010	00103857	DEVAS ANUBHAI	00400218	DEVAS NISHITHBHAI SH
24/09/2020	1	196	000010	00J00116	SHRI JAGJIVAN BHURAB	00400219	SUSHMA PARAG SHAH
03/10/2020	1	1	000010	00052726	MANILAL SHANABHAI SU	00400220	JAYANTKUMAR MANILAL
03/10/2020	1	8	000010	00MA3226	MANILAL SHANABHAI SU	00400220	JAYANTKUMAR MANILAL
15/10/2020	1	53	000010	00C00059	SHRI CHANDRAVADAN MA	00400221	SMT.SUMITRABEN CHAND
20/10/2020	1	1	000010	00NI1152	NIRMALA LAXMANRAO YA	00400222	SEEMA ARUNKUMAR YARD
20/10/2020	1	1	000010	00NI1153	NIRMALA LAXMANRAO YA	00400222	SEEMA ARUNKUMAR YARD
26/10/2020	1	1	000010	00259081	GODAVRIBEN M SAVAJIY	00400223	PARESH M SAVAJIYANI
02/11/2020	1	1	000010	00103856	DEVAS ANUBHAI	00400224	DEVAS NISHITHBHAI SH
02/11/2020	1	27	000010	00N00055	MR. NISHITH KASTURBH	00400225	NISHITH KASTURBHAI S
23/11/2020	1	6	000010	00062446	FALGUNI BIPIN SHAH	00400226	FALGUNI VIPUL GANDHI
25/11/2020	1	56	000010	00N00020	MR. NAUTAMLAL SOBHAG	00400227	ATULKUMAR NAUTAMLAL
25/11/2020	1	7	000010	00MA0014	MAHENDRAKUMAR KARSAN	00400228	JATIN MAHENDRAKUMAR
08/12/2020	1	1	000010	00HI0377	HIRABEN CHANDULAL MO	00400229	HIRABEN HASMUKHLAL M
15/12/2020	1	3	000010	00130990	ASHINI RAMESHBHAI SH	00400230	ASHINI SAMIR SHAH
21/12/2020	1	7	000010	00901215	NARENDRA VADILAL SHA	00400231	ATULKUMAR VADILAL SH
21/12/2020	1	6	000010	00340499	K B ZAMEER	00400232	K B ZAMEER
21/12/2020	1	12	000010	00340500	K B KHADEEJA BEEVI	00400232	K B ZAMEER
05/01/2021	1	10	000010	00071567	USHA RANI AGARWAL	00400233	USHA RANI AGARWAL
06/01/2021	1	10	000010	00075570	CHANDRA PRAKASH SRIV	00400234	RAJESH KUMAR
06/01/2021	1	10	000010	00075585	MANORAMA SRIVASTAVA	00400234	RAJESH KUMAR
09/01/2021	1	14	000010	00MA3085	MADHUKANTABEN GULABS	00400235	DIPAKKUMAR GULABSING
09/01/2021	1	28	000010	00D00076	SHRI DINUBHAI MOHANL	00400236	SHRI ASHWIN MOHANLAL
12/02/2021	1	1	000010	00M10477	MINAL RAJNIKANT SHAH	00400237	MINAL RAJNIKANT SHAH
12/02/2021	1	11	000010	00074032	AJIT KUMAR MUKHERJEE	00400238	SMITA MUKERJEE
15/02/2021	1	9	000010	00081691	R AYYASWAMY	00400239	KAMALA AYYASWAMY
24/02/2021	1	27	000010	00K00092	MRS KANCHANBEN DEVCH	00400240	MRS KANCHANBEN DEVCH
24/02/2021	1	2	000010	00241473	PURNIMA JAYANTILAL T	00400241	PURNIMA S RAVAL
26/02/2021	1	163	000010	00S00115	MRS. SUDHA BHUPENDRA	00400242	MR. BHUPENDRA RASIKL
26/02/2021	1	140	000010	00B00128	SHRI BHUPENDRA RASIK	00400243	SHRI BHUPENDRA RASIK
19/03/2021	1	1288	000010	00I00123	MR. JANAK SARVOTTAM	00400244	SHAUM HUTHESING
30/03/2021	1	1	000010	00064053	GOKULBAI PUNAMCHAND	00400245	SUNIL PUNAMCHAND AGI
31/03/2021	1	252	000010	00R00114	SHRI RAMESHCHANDRA S	00400246	JAYDEEPBHAIR RAMESHCH